

INVESTOR CHARTER

Background:

This Investor Charter has been adopted and published by Vinayakk V Joshi who is a SEBI registered Research Analyst having registration number- INH000008330 under SEBI (Research Analyst) Regulations, 2014 (hereinafter referred as "Research Analyst" or "RA") in compliance with SEBI circular No. SEBI/HO/IMD/IMD-II CIS/P/CIR/2021/0685 dated December 13, 2021. This Investor Charter is published on website <https://www.wealthemoji.com> . This Investor Charter is a brief document containing details of services provided to Investors, their rights, their responsibilities , Dos and Don'ts, Investor Grievances mechanism, estimated time thereof etc. at one single place for ease of reference .

A. Vision and Mission Statements for investors.

Vision

To Invest with knowledge and safety.

Mission

Every investor should be able to invest in right investment products based on their needs, manage and monitor them to meet their goals, access reports and enjoy financial wellness.

B. Details of business transacted by the Research Analyst with respect to the investors.

To publish research report based on the research activities of the Research Analysts:

- To provide an independent unbiased view on securities.
- To offer unbiased recommendation, disclosing the financial interests in recommended securities.
- To provide research recommendation, based on analysis of publicly available information and known observations.
- To conduct audit annually.

C. Details of services provided to investors (No Indicative Timelines)

- Onboarding of Clients through smallcase platform
(Instantly online process)
- Disclosure to Clients:
To distribute research reports and recommendations to the clients without discrimination. *(Research recommendations shall be available to registered investors instantly after payment of online subscription through smallcase platform.)*
- To maintain confidentiality w.r.t publication of the research report until made available in the public domain.

D. Details of grievance redressal mechanism and how to access it

In case of any grievance / complaint, an investor should approach the Research Analyst by sending email at hello@wealthemoji.com alongwith fullname, Mobile number and PAN number and that RA shall ensure that the grievance is resolved within 30 days.

If the investor's complaint is not redressed satisfactorily, one may lodge a complaint with SEBI on SEBI's SCORES portal which is a centralized web based complaints redressal system. (<https://www.scores.gov.in/scores/>)

SEBI takes up the complaints registered via SCORES with the concerned intermediary for timely redressal. SCORES facilitates tracking the status of the complaint.

With regard to physical complaints, investors may send their complaints to:

Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan. Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051 and

for speedy elucidation, a copy to be sent to: Mr.Vinayakk V Joshi, Research Analyst, Wealthemoji, Office No.006, First Floor, Rustomje Eaze Zone Complex, Off Goregaon Link Rd, Goregaon-west, Mumbai 400062 MH-India and at hello@wealthemoji.com

E. Expectations from the investors (RESPONSIBILITIES OF INVESTORS)

• DO'S

- i. **Always** deal with SEBI registered Research Analyst.
- ii. **Ensure** that the Research Analyst has a valid registration certificate.
- iii. **Check** for SEBI registration number.
- iv. Please refer to the list of all SEBI registered Research Analysts which is available on SEBI website in the following link:
([https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes &intmId=14](https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes&intmId=14))
- v. **Always** pay attention towards disclosures made in the research reports before investing.
- vi. **Pay** your Research Analyst through banking channels only and maintain duly signed receipts mentioning the details of your payments.
- vii. **Before** buying securities or applying in public offer, check for the research recommendation provided by your research Analyst.
- viii. **Ask** all relevant questions and clear your doubts with your Research Analyst before acting on the recommendation.
- ix. **Inform** SEBI about Research Analyst offering assured or guaranteed returns.

• DON'TS

- i. **Do not** provide funds for investment to the Research Analyst.
- ii. **Don't** fall prey to luring advertisements or market rumours.
- iii. **Do not** get attracted to limited period discount or other incentive, gifts, etc. offered by Research Analyst.
- iv. **Do not** share login credentials and password of your trading and demat accounts with the Research Analyst.

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